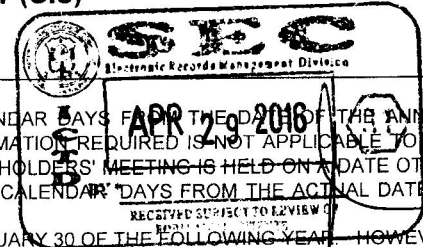


GENERAL INFORMATION SHEET (GIS)

FOR THE YEAR 2016
STOCK CORPORATION



GENERAL INSTRUCTIONS:

- FOR USER CORPORATION: THIS GIS SHOULD BE SUBMITTED WITHIN THIRTY (30) CALENDAR DAYS FROM THE DATE OF THE ANNUAL STOCKHOLDERS' MEETING. DO NOT LEAVE ANY ITEM BLANK. WRITE "N.A." IF THE INFORMATION REQUIRED IS NOT APPLICABLE TO THE CORPORATION OR "NONE" IF THE INFORMATION IS NON-EXISTENT. IF THE ANNUAL STOCKHOLDERS' MEETING IS HELD ON A DATE OTHER THAN THAT STATED IN THE BY-LAWS, THE GIS SHALL BE SUBMITTED WITHIN THIRTY (30) CALENDAR DAYS FROM THE ACTUAL DATE OF THE ANNUAL STOCKHOLDERS' MEETING.
- IF NO MEETING IS HELD, THE CORPORATION SHALL SUBMIT THE GIS NOT LATER THAN JANUARY 30 OF THE FOLLOWING YEAR. HOWEVER, SHOULD AN ANNUAL STOCKHOLDERS' MEETING BE HELD THEREAFTER, A NEW GIS SHALL BE SUBMITTED/FILED.
- THIS GIS SHALL BE ACCOMPLISHED IN ENGLISH AND CERTIFIED AND SWORN TO BY THE CORPORATE SECRETARY OF THE CORPORATION.
- THE SEC SHOULD BE TIMELY APPRISED OF RELEVANT CHANGES IN THE SUBMITTED INFORMATION AS THEY ARISE. FOR CHANGES RESULTING FROM ACTIONS THAT AROSE BETWEEN THE ANNUAL MEETINGS, THE CORPORATION SHALL SUBMIT ONLY THE AFFECTED PAGE OF THE GIS THAT RELATES TO THE NEW INFORMATION TOGETHER WITH A COVER LETTER SIGNED BY THE CORPORATE SECRETARY OF THE CORPORATION. THE PAGE OF THE GIS AND COVER LETTER SHALL BE SUBMITTED WITHIN SEVEN (7) DAYS AFTER SUCH CHANGE OCCURRED OR BECAME EFFECTIVE.
- SUBMIT FOUR (4) COPIES OF THE GIS TO THE CENTRAL RECEIVING SECTION, GROUND FLOOR, SEC BLDG., EDSA, MANDALUYONG CITY. ALL COPIES SHALL UNIFORMLY BE ON A4 OR LETTER-SIZED PAPER WITH A STANDARD COVER PAGE. THE PAGES OF ALL COPIES SHALL USE ONLY ONE SIDE. CORPORATIONS SUBMITTING A COPY OF THEIR GIS ONLINE OR VIA INTERNET SHALL SUBMIT ONE (1) HARD COPY OF THE GIS, TOGETHER WITH A CERTIFICATION UNDER OATH BY ITS CORPORATE SECRETARY THAT THE COPY SUBMITTED ONLINE CONTAINS THE EXACT DATA IN THE HARD COPY.
- ONLY THE GIS ACCOMPLISHED IN ACCORDANCE WITH THESE INSTRUCTIONS SHALL BE CONSIDERED AS HAVING BEEN FILED.
- THIS GIS MAY BE USED AS EVIDENCE AGAINST THE CORPORATION AND ITS RESPONSIBLE DIRECTORS/OFFICERS FOR ANY VIOLATION OF EXISTING LAWS, RULES AND REGULATIONS

===== PLEASE PRINT LEGIBLY =====

| | | |
|--|--|---|
| CORPORATE NAME: COL FINANCIAL GROUP, INC. | | DATE REGISTERED: 8/16/1999 |
| BUSINESS/TRADE NAME: COL FINANCIAL GROUP, INC. | | FISCAL YEAR END: December 31 |
| SEC REGISTRATION NUMBER: A199910065 | | CORPORATE TAX IDENTIFICATION NUMBER (TIN): 203-523-208-000 |
| DATE OF ANNUAL MEETING PER BY-LAWS: ANY DAY IN MARCH | | WEBSITE/URL ADDRESS: www.colfinancial.com |
| ACTUAL DATE OF ANNUAL MEETING: March 31, 2016 | | E-MAIL ADDRESS: |
| COMPLETE PRINCIPAL OFFICE ADDRESS: 24/F East Tower, PSE Centre, Exchange Road, Ortigas Center, Pasig City | | FAX NUMBER: 634-6958 |
| COMPLETE BUSINESS ADDRESS: (same as above) | | TELEPHONE NUMBER(S): 636-5411 |
| NAME OF EXTERNAL AUDITOR & ITS SIGNING PARTNER: SYCIP, GORRES, VELAYO & CO., Janeth T. Nuñez-Javier | | SEC ACCREDITATION NUMBER (if applicable): SGV-0012-FR-4/Janeth T. Nuñez-Javier 1328-A |
| PRIMARY PURPOSE/ACTIVITY/INDUSTRY PRESENTLY ENGAGED IN: Online Brokerage Services | INDUSTRY CLASSIFICATION: STOCKBROKER | GEOGRAPHICAL CODE: |

===== INTERCOMPANY AFFILIATIONS =====

| PARENT COMPANY | SEC REGISTRATION NO. | ADDRESS |
|----------------------|-----------------------------|---|
| SUBSIDIARY/AFFILIATE | SEC REGISTRATION NO. | ADDRESS |
| | COL SECURITIES (HK) LIMITED | RM. 803 LUK YU BUILDING 24-26 STANLEY ST., CENTRAL HONG KONG |
| | | |
| | | |
| | | |

NOTE: USE ADDITIONAL SHEET IF NECESSARY

GENERAL INFORMATION SHEET

STOCK CORPORATION

===== PLEASE PRINT LEGIBLY =====

Corporate Name: COL FINANCIAL GROUP, INC.

A. Is the Corporation a covered person under the Anti Money Laundering Act (AMLA), as amended? (Rep. Acts. 9160/9164/10167/10365) **Yes** **No**

Please check the appropriate box:

| | |
|--|--|
| <p>1.</p> <p><input type="checkbox"/> a. Banks</p> <p><input type="checkbox"/> b. Offshore Banking Units</p> <p><input type="checkbox"/> c. Quasi-Banks</p> <p><input type="checkbox"/> d. Trust Entities</p> <p><input type="checkbox"/> e. Non-Stock Savings and Loan Associations</p> <p><input type="checkbox"/> f. Pawnshops</p> <p><input type="checkbox"/> g. Foreign Exchange Dealers</p> <p><input type="checkbox"/> h. Money Changers</p> <p><input type="checkbox"/> i. Remittance Agents</p> <p><input type="checkbox"/> j. Electronic Money Issuers</p> <p><input type="checkbox"/> k. Financial Institutions which Under Special Laws are subject to Bangko Sentral ng Pilipinas' (BSP) supervision and/or regulation, including their subsidiaries and affiliates.</p> | <p>4. <input type="checkbox"/> Jewelry dealers in precious metals, who, as a business, trade in precious metals</p> |
| <p>2.</p> <p><input type="checkbox"/> a. Insurance Companies</p> <p><input type="checkbox"/> b. Insurance Agents</p> <p><input type="checkbox"/> c. Insurance Brokers</p> <p><input type="checkbox"/> d. Professional Reinsurers</p> <p><input type="checkbox"/> e. Reinsurance Brokers</p> <p><input type="checkbox"/> f. Holding Companies</p> <p><input type="checkbox"/> g. Holding Company Systems</p> <p><input type="checkbox"/> h. Pre-need Companies</p> <p><input type="checkbox"/> i. Mutual Benefit Association</p> <p><input type="checkbox"/> j. All Other Persons and entities supervised and/or regulated by the Insurance Commission (IC)</p> | <p>5. <input type="checkbox"/> Jewelry dealers in precious stones, who, as a business, trade in precious stone</p> |
| <p>3.</p> <p><input checked="" type="checkbox"/> a. Securities Dealers</p> <p><input checked="" type="checkbox"/> b. Securities Brokers</p> <p><input type="checkbox"/> c. Securities Salesman</p> <p><input type="checkbox"/> d. Investment Houses</p> <p><input type="checkbox"/> e. Investment Agents and Consultants</p> <p><input type="checkbox"/> f. Trading Advisors</p> <p><input type="checkbox"/> g. Other entities managing Securities or rendering similar services</p> <p><input type="checkbox"/> h. Mutual Funds or Open-end Investment Companies</p> <p><input type="checkbox"/> i. Close-end Investment Companies</p> <p><input type="checkbox"/> j. Common Trust Funds or Issuers and other similar entities</p> <p><input type="checkbox"/> k. Transfer Companies and other similar entities</p> <p><input type="checkbox"/> l. Other entities administering or otherwise dealing in currency, commodities or financial derivatives based there on</p> <p><input type="checkbox"/> m. Entities administering of otherwise dealing in valuable objects</p> <p><input type="checkbox"/> n. Entities administering or otherwise dealing in cash Substitutes and other similar monetary instruments or property supervised and/or regulated by the Securities and Exchange Commission (SEC)</p> | <p>6. Company service providers which, as a business, provide any of the following services to third parties:</p> <p><input type="checkbox"/> a. acting as a formation agent of juridical persons</p> <p><input type="checkbox"/> b. acting as (or arranging for another person to act as) a director or corporate secretary of a company, a partner of a partnership, or a similar position in relation to other juridical persons</p> <p><input type="checkbox"/> c. providing a registered office, business address or accommodation, correspondence or administrative address for a company, a partnership or any other legal person or arrangement</p> <p><input type="checkbox"/> d. acting as (or arranging for another person to act as) a nominee shareholder for another person</p> |
| <p>7. Persons who provide any of the following services:</p> <p><input type="checkbox"/> a. managing of client money, securities or other assets</p> <p><input type="checkbox"/> b. management of bank, savings or securities accounts</p> <p><input type="checkbox"/> c. organization of contributions for the creation, operation or management of companies</p> <p><input type="checkbox"/> d. creation, operation or management of juridical persons or arrangements, and buying and selling business entities</p> | <p>8. <input type="checkbox"/> None of the above</p> |
| <p>B. Has the Corporation complied with the requirements on Customer Due Diligence (CDD) or Know Your Customer (KYC), record-keeping, and submission of reports under the AMLA, as amended, since the last filing of its</p> | <p><input checked="" type="radio"/> Yes <input type="radio"/> No</p> |

Describe nature of business:

GENERAL INFORMATION SHEET

STOCK CORPORATION

===== PLEASE PRINT LEGIBLY =====

CORPORATE NAME: COL FINANCIAL GROUP, INC.

CAPITAL STRUCTURE

(As of March 31, 2016)

AUTHORIZED CAPITAL STOCK

| | TYPE OF SHARES * | NUMBER OF SHARES | PAR/STATED VALUE | AMOUNT (Php) (No. of shares X Par/Stated Value) |
|--------------|------------------|----------------------|------------------|--|
| | Common | 1,000,000,000 | 1.00 | 1,000,000,000.00 |
| TOTAL | | 1,000,000,000 | TOTAL P | 1,000,000,000.00 |

SUBSCRIBED CAPITAL

| FILIPINO | NO. OF STOCK-HOLDERS | TYPE OF SHARES * | NUMBER OF SHARES | NUMBER OF SHARES IN THE HANDS OF THE PUBLIC ** | PAR/STATED VALUE | AMOUNT (Php) | % OF OWNERSHIP |
|--------------|----------------------|------------------|--------------------|--|------------------|-----------------------|----------------|
| | 32 | Common | 397,391,792 | | 1.00 | 397,391,792.00 | 83.66% |
| TOTAL | | | 397,391,792 | TOTAL | TOTAL P | 397,391,792.00 | 83.66% |

| FOREIGN (INDICATE BY NATIONALITY) | NO. OF STOCK-HOLDERS | TYPE OF SHARES * | NUMBER OF SHARES | NUMBER OF SHARES IN THE HANDS OF THE PUBLIC ** | PAR/STATED VALUE | AMOUNT (Php) | % OF OWNERSHIP |
|---------------------------------------|----------------------|------------------|------------------|--|------------------|-----------------------|----------------|
| Japanese | 1 | Common | 1,000,000 | | 1.00 | 1,000,000.00 | 0.21% |
| Malaysian | 1 | Common | 1,000 | | 1.00 | 1,000.00 | 0.00% |
| Chinese | 1 | Common | 100,000 | | 1.00 | 100,000.00 | 0.02% |
| American | 1 | Common | 1,000 | | 1.00 | 1,000.00 | 0.00% |
| Various*** | 1 | Common | 76,506,208 | | 1.00 | 76,506,208.00 | 16.11% |
| Percentage of Foreign Equity : | | | TOTAL | TOTAL | TOTAL P | 77,608,208.00 | 16.34% |
| TOTAL SUBSCRIBED P | | | | | | 475,000,000.00 | 100.00% |

PAID-UP CAPITAL

| FILIPINO | NO. OF STOCK-HOLDERS | TYPE OF SHARES * | NUMBER OF SHARES | PAR/STATED VALUE | AMOUNT (Php) | % OF OWNERSHIP |
|--------------|----------------------|------------------|--------------------|------------------|-----------------------|----------------|
| | 32 | Common | 397,391,792 | 1.00 | 397,391,792.00 | 83.66% |
| TOTAL | | | 397,391,792 | TOTAL P | 397,391,792.00 | 83.66% |

| FOREIGN (INDICATE BY NATIONALITY) | NO. OF STOCK-HOLDERS | TYPE OF SHARES * | NUMBER OF SHARES | PAR/STATED VALUE | AMOUNT (Php) | % OF OWNERSHIP |
|-----------------------------------|----------------------|------------------|------------------|------------------|-----------------------|----------------|
| Japanese | 1 | Common | 1,000,000 | 1.00 | 1,000,000.00 | 0.21% |
| Malaysian | 1 | Common | 1,000 | 1.00 | 1,000.00 | 0.00% |
| Chinese | 1 | Common | 100,000 | 1.00 | 100,000.00 | 0.02% |
| American | 1 | Common | 1,000 | 1.00 | 1,000.00 | 0.00% |
| Various*** | 1 | Common | 76,506,208 | 1.00 | 76,506,208.00 | 16.11% |
| 16.34 % | | | TOTAL | TOTAL P | 77,608,208.00 | 16.34% |
| TOTAL PAID-UP P | | | | | 475,000,000.00 | 100.00% |

NOTE: USE ADDITIONAL SHEET IF NECESSARY

* Common, Preferred or other classification

** Other than Directors, Officers, Shareholders owning 10% of outstanding shares.

*** Under PCD Nominee

GENERAL INFORMATION SHEET

STOCK CORPORATION

===== PLEASE PRINT LEGIBLY =====

| CORPORATE NAME: COL FINANCIAL GROUP, INC. | | | | | | | |
|--|-------|-------|-----|--------------|--|-------------|---|
| DIRECTORS / OFFICERS | | | | | | | |
| NAME, NATIONALITY AND CURRENT RESIDENTIAL ADDRESS | INC'R | BOARD | SEX | STOCK HOLDER | OFFICER | EXEC. COMM. | TAX IDENTIFICATION NO. (TIN) FOR FILIPINOS AND FOREIGNERS |
| 1. EDWARD K. LEE 1576 Mahogany cor. Cypress, Dasmariñas Village, Makati City | Y | C | M | Y | Chairman of the Board | | 120-974-895 Filipino |
| 2. ALEXANDER C. YU 211 Ortega St., San Juan, Metro Manila | Y | M | M | Y | Vice-Chairman | C/M N/M | 103-900-928 Filipino |
| 3. CONRADO F. BATE 1207 Tamarind Road Dasmariñas Village, Makati City | N | M | M | Y | Pres./Chief Exec. Officer | | 110-863-960 Filipino |
| 4. CATHERINE L. ONG 38-I P Florentino St. cor. P. Tuazon, Quezon City | Y | M | F | Y | SVP /Treasurer/ CFO | C/M | 120-975-006 Filipino |
| 5. CAESAR A. GUERZON 32 Hydra St., Bel-Air, Makati City | Y | | M | Y | Corporate Secretary | N/M | 111-891-078 Filipino |
| 6. HERNAN G. LIM #6 Polk St., North Greenhills, San Juan City | N | M | M | Y | Director | A/M | 103-076-270 Filipino |
| 7. RAYMOND C. YU 117 4th Street, New Manila, Quezon City | N | M | M | Y | Director | A/M | 115-677-019 Filipino |
| 8. PAULWELL HAN 9E G/F Broom Road, Happy Valley, Hong Kong | N | M | M | Y | Director | | 435-404-484 Chinese |
| 9. WELLINGTON C. YU 76 Interior, Kaingin Road, Balintawak, Quezon City | N | M | M | Y | Director | C/C | 102-049-841 Filipino |
| 10. MANUEL S. ESTACION U2006 Edades Tower & Garden Villas, #18 Amorsolo Dr., Rockwell Ctr, Makati | N | I | M | Y | Director | A/C C/M | 105-781-061 Filipino |
| 11. KHOO BOO BOON #21 Don Rufino Avenue, Tahanan Village Parañaque City, Metro Manila | N | I | M | Y | Director | N/C | 186-654-826 Malaysian |
| 12. JOEL LITMAN 425 5th Avenue, New York, New York, USA | N | M | M | Y | Director | | 428-686-766 American |
| 13. JUAN G. BARREDO 104 Alexis #33 Firefly St., Valle Verde 6, Pasig City | Y | | M | Y | VP/Asst. Corp. Sec. | | 168-943-061 Filipino |
| 14. NIKOS J. BAUTISTA 631 Lourdes St., Unit 39 Graceland Mansion Savi, Parañaque City | N | | M | Y | VP/Chief Technology Officer | | 188-033-215 Filipino |
| 15. LORENA E. VELARDE 3622 – 3623 North Tower, Flair Towers, Reliance cor. Pinas St. Highway Hills, Mandaluyong City | N | | F | Y | VP/Financial Controller | | 129-434-085 Filipino |
| 16. APRIL LYNN L. TAN B291 Alexandra Condominium, 29 Meralco Ave., Ortigas, Pasig City | N | | F | Y | VP/Head of Research | | 100-902-279 Filipino |
| 17. SHARON T. LIM 1402B Landmark Villa 2 Gate 1, Kaimito St., Valle Verde 2, Pasig City | N | | F | Y | Associated Person/Asst. Corp. Sec. | | 211-597-311 Filipino |
| 18. REA P. ORTEZA 34 Apt. B, A. Flores St., Bagong Ilog, Pasig City | N | | F | N | Associated Person | | 222-203-482 Filipino |
| INSTRUCTION: FOR SEX COLUMN, PUT "F" FOR FEMALE, "M" FOR MALE FOR BOARD COLUMN, PUT "C" FOR CHAIRMAN, "M" FOR MEMBER, "I" FOR INDEPENDENT DIRECTOR FOR INC'R COLUMN, PUT "Y" IF AN INCORPORATOR, "N" IF NOT FOR STOCKHOLDER COLUMN, PUT "Y" IF A STOCKHOLDER, "N" IF NOT FOR OFFICER COLUMN, INDICATE PARTICULAR POSITION IF AN OFFICER, FROM VP UP INCLUDING THE POSITION OF THE TREASURER, SECRETARY, COMPLIANCE OFFICER AND/OR ASSOCIATED PERSON FOR EXECUTIVE COMMITTEE, INDICATE "C" IF MEMBER OF THE COMPENSATION COMMITTEE; "A" FOR AUDIT COMMITTEE; "N" FOR NOMINATION AND ELECTION COMMITTEE. ADDITIONALLY WRITE "C" AFTER SLASH IF CHAIRMAN AND "M" IF MEMBER. | | | | | | | |

GENERAL INFORMATION SHEET

STOCK CORPORATION

===== PLEASE PRINT LEGIBLY =====

CORPORATE NAME: COL FINANCIAL GROUP, INC.

TOTAL NUMBER OF STOCKHOLDERS: 32 **NO. OF STOCKHOLDERS WITH 100 OR MORE SHARES EACH:** 31

TOTAL ASSETS BASED ON LATEST AUDITED FINANCIAL STATEMENTS: 7,411,524,095.00

STOCKHOLDER'S INFORMATION

(As of March 31, 2016)

| NAME, NATIONALITY AND CURRENT RESIDENTIAL ADDRESS | SHARES SUBSCRIBED | | | | AMOUNT PAID (PhP) | TAX IDENTIFICATION NO (TIN) FOR FILIPINOS AND FOREIGNERS |
|--|-------------------|-------------|----------------|----------------|-----------------------|--|
| | TYPE | NUMBER | AMOUNT (PhP) | % OF OWNERSHIP | | |
| 1. PCD Nominee Corporation - Filipino Alexander C. Yu Edward K. Lee Conrado F. Bate G/F Makati Stock Exchange Building, 6767 Ayala Avenue, Makati City | Common | 205,009,887 | 205,009,887.00 | 43.16% | 302,845,887.00 | 004-774-849 |
| | | 46,311,800 | 46,311,800.00 | 9.75% | | |
| | | 27,540,600 | 27,540,600.00 | 5.80% | | |
| | | 23,983,600 | 23,983,600.00 | 5.05% | | |
| | TOTAL | 302,845,887 | 302,845,887.00 | | | |
| 2. PCD Nominee Corporation Non-Filipino Paulwell Han G/F Makati Stock Exchange Building, 6767 Ayala Avenue, Makati City | Common | 40,486,208 | 40,486,208.00 | 8.52% | 76,506,208.00 | 004-774-849 |
| | | 36,020,000 | 36,020,000.00 | 7.58% | | |
| | | | | | | |
| | TOTAL | 76,506,208 | 76,506,208.00 | | | |
| 3. Edward K. Lee Filipino 1576 Mahogany cor. Cypress, Dasmariñas Village, Makati City | Common | 62,250,000 | 62,250,000.00 | 13.11% | 62,250,000.00 | 120-974-895 |
| | | | | | | |
| | TOTAL | 62,250,000 | 62,250,000.00 | | | |
| 4. Alexander C. Yu Filipino 211 Ortega St. San Juan, Metro Manila | Common | 20,000,000 | 20,000,000.00 | 4.21% | 20,000,000.00 | 103-900-928 |
| | | | | | | |
| | TOTAL | 20,000,000 | 20,000,000.00 | | | |
| 5. Valentina L. Ang Filipino Block 6, Lot 14 Piña-Santol Subd., Sampaloc, Manila | Common | 5,000,000 | 5,000,000.00 | 1.05% | 5,000,000.00 | 120-974-976 |
| | | | | | | |
| | TOTAL | 5,000,000 | 5,000,000.00 | | | |
| 6. Frederick Yuson Filipino 358 Araneta Ave., QC | Common | 4,000,000 | 4,000,000.00 | 0.84% | 4,000,000.00 | 120-974-779 |
| | | | | | | |
| | TOTAL | 4,000,000 | 4,000,000.00 | | | |
| 7. Hirotsugu Kobayashi Japanese #5 San Felipe St. Magallanes Village, Makati City | Common | 1,000,000 | 1,000,000.00 | 0.21% | 1,000,000.00 | 145-869-289 |
| | | | | | | |
| | TOTAL | 1,000,000 | 1,000,000.00 | | | |
| TOTAL AMOUNT OF SUBSCRIBED CAPITAL | | | 471,602,095.00 | 99.28% | 471,602,095.00 | |
| TOTAL AMOUNT OF PAID-UP CAPITAL | | | | | | |

INSTRUCTION: SPECIFY THE TOP 20 STOCKHOLDERS AND INDICATE THE REST AS OTHERS

Note: For PDTC Nominee included in the list, please indicate further the beneficial owners owning more than 5% of any class of the company's voting securities. Attach separate sheet, if necessary.

GENERAL INFORMATION SHEET

STOCK CORPORATION

===== PLEASE PRINT LEGIBLY =====

| CORPORATE NAME: | | COL FINANCIAL GROUP, INC. | | | | |
|--|-------------------|---------------------------|-----------------|------------------------|---|---|
| TOTAL NUMBER OF STOCKHOLDERS: | | 32 | | | NO. OF STOCKHOLDERS WITH 100 OR MORE SHARES EACH: 31 | |
| TOTAL ASSETS BASED ON LATEST AUDITED FS: | | 7,411,524,095.00 | | | | |
| STOCKHOLDER'S INFORMATION | | | | | | |
| (As of March 31, 2016) | | | | | | |
| NAME, NATIONALITY AND CURRENT RESIDENTIAL ADDRESS | SHARES SUBSCRIBED | | | | AMOUNT PAID (PhP) | TAX IDENTIFICATION NO. (TIN) FOR FILIPINOS AND FOREIGNERS |
| | TYPE | NUMBER | AMOUNT (PhP) | % OF OWNER- SHIP | | |
| 8. Lydia C. Lee Filipino 1576 Mahogany cor. Cypress, Dasmariñas Village, Makati City | Common | 1,000,000 | 1,000,000.00 | 0.21% | 1,000,000.00 | 120-974-909 |
| | | | | | | |
| | TOTAL | 1,000,000 | 1,000,000.00 | | | |
| 9. Jessalynn L. Tan Filipino 16 Accacia St., Valle Verde 3, Pasig City | Common | 1,000,000 | 1,000,000.00 | 0.21% | 1,000,000.00 | 120-975-089 |
| | | | | | | |
| | TOTAL | 1,000,000 | 1,000,000.00 | | | |
| 10. Regina C. Vinzon Filipino 15 Pugad Lawin St. Ayala Heights Village, Old Balara, QC | Common | 1,000,000 | 1,000,000.00 | 0.21% | 1,000,000.00 | 111-891-132 |
| | | | | | | |
| | TOTAL | 1,000,000 | 1,000,000.00 | | | |
| 11. Hernan G. Lim Filipino #6 Polk St., North Greenhills, San Juan City | Common | 100,000 | 100,000.00 | 0.02% | 100,000.00 | 103-076-270 |
| | | | | | | |
| | TOTAL | 100,000 | 100,000.00 | | | |
| 12. Raymond C. Yu Filipino 117 4th Street, New Manila, Quezon City | Common | 100,000 | 100,000.00 | 0.02% | 100,000.00 | 115-677-019 |
| | | | | | | |
| | TOTAL | 100,000 | 100,000.00 | | | |
| 13. Paulwell Han Chinese 9E G/F Broom Road, Happy Valley, Hong Kong | Common | 100,000 | 100,000.00 | 0.02% | 100,000.00 | 435-404-484 |
| | | | | | | |
| | TOTAL | 100,000 | 100,000.00 | | | |
| TOTAL AMOUNT OF SUBSCRIBED CAPITAL | | | 3,300,000.00 | 0.67% | 3,300,000.00 | |
| TOTAL AMOUNT OF PAID-UP CAPITAL | | | | | 474,902,095.00 | |
| INSTRUCTION: SPECIFY THE TOP 20 STOCKHOLDERS AND INDICATE THE REST AS OTHERS | | | | | | |
| Note: For PDTC Nominee included in the list, please indicate further the beneficial owners owning more than 5% of any class of the company's voting securities. Attach separate sheet, if necessary. | | | | | | |

GENERAL INFORMATION SHEET

STOCK CORPORATION

===== PLEASE PRINT LEGIBLY =====

| CORPORATE NAME: | | COL FINANCIAL GROUP, INC. | | | | |
|--|-------------------|---------------------------|------------------|--|-----------------------|---|
| TOTAL NUMBER OF STOCKHOLDERS: | | 32 | | NO. OF STOCKHOLDERS WITH 100 OR MORE SHARES EACH: | | 31 |
| TOTAL ASSETS BASED ON LATEST AUDITED FS: | | 7,411,524,095.00 | | | | |
| STOCKHOLDER'S INFORMATION | | | | | | |
| (As of March 31, 2016) | | | | | | |
| NAME, NATIONALITY AND CURRENT RESIDENTIAL ADDRESS | SHARES SUBSCRIBED | | | | AMOUNT PAID (Php) | TAX IDENTIFICATION NO. (TIN) FOR FILIPINOS AND FOREIGNERS |
| | TYPE | NUMBER | AMOUNT (Php) | % OF OWNER- SHIP | | |
| 14. Catherine L. Ong Filipino 38-l P Florentino St. cor. P. Tuazon, Quezon City | Common | 50,000 | 50,000.00 | 0.01% | 50,000.00 | 120-975-006 |
| | | | | | | |
| | TOTAL | 50,000 | 50,000.00 | | | |
| 15. Serafin P.Barretto, Jr. Filipino U-8B23 Francesca Tower, Edsa cor. Sct. Borromeo Q.C. | Common | 12,000 | 12,000.00 | 0.00% | 12,000.00 | 140-357-660 |
| | | | | | | |
| | TOTAL | 12,000 | 12,000.00 | | | |
| 16. Manuel S. Estacion Filipino U2006 Edades Tower & Garden Villas #18 Amorsolo Dr. Rockwell, Makati | Common | 10,000 | 10,000.00 | 0.00% | 10,000.00 | 101-900-920 |
| | | | | | | |
| | TOTAL | 10,000 | 10,000.00 | | | |
| 17. Wellington C. Yu or Victoria O. Yu Filipino 76 Interior, Kaingin Road, Balintawak, Quezon City | Common | 10,000 | 10,000.00 | 0.00% | 10,000.00 | 102-049-841 |
| | | | | | | |
| | TOTAL | 10,000 | 10,000.00 | | | |
| 18. Sernando Filio Filipino 5-A Happy Homes St., Carebi Subd., Angono, Rizal | Common | 5,000 | 5,000.00 | 0.00% | 5,000.00 | 196-840-654 |
| | | | | | | |
| | TOTAL | 5,000 | 5,000.00 | | | |
| 19. Rosario Gara Filipino 111 Sto. Rosario St., Mandaluyong City | Common | 5,000 | 5,000.00 | 0.00% | 5,000.00 | 129-454-273 |
| | | | | | | |
| | TOTAL | 5000 | 5000 | | | |
| 20. Caesar A. Guerzon Filipino 32 Hydra Street Bel-Air 3, Makati City | Common | 1,000 | 1,000 | 0.00% | 1,000 | 111-891-078 |
| | | | | | | |
| | | 1,000 | 1,000 | | | |
| 21. OTHERS (Indicate the number of the remaining stockholders) 12 | Common | 4,905 | 4,905.00 | 0.00% | 4,905.00 | |
| | | | | | | |
| | TOTAL | 4,905 | 4,905.00 | | | |
| TOTAL AMOUNT OF SUBSCRIBED CAPITAL | | | 97,905.00 | 0.01% | 475,000,000.00 | |
| TOTAL AMOUNT OF PAID-UP CAPITAL | | | | | | |

INSTRUCTION: SPECIFY THE TOP 20 STOCKHOLDERS AND INDICATE THE REST AS OTHERS

Note: For PDTC Nominee included in the list, please indicate further the beneficial owners owning more than 5% of any class of the company's voting securities. Attach separate sheet, if necessary.

GENERAL INFORMATION SHEET

STOCK CORPORATION

| ===== PLEASE PRINT LEGIBLY ===== | | | |
|---|--|---|----------------------------------|
| CORPORATE NAME: | | | |
| 1. INVESTMENT OF CORPORATE FUNDS IN ANOTHER CORPORATION | AMOUNT (Php) | DATE OF BOARD RESOLUTION | |
| 1.1 STOCKS | 1,673,427.00 | | |
| 1.2 BONDS/COMMERCIAL PAPER (Issued by Private Corporations) | | | |
| 1.3 LOANS/ CREDITS/ ADVANCES | | | |
| 1.4 GOVERNMENT TREASURY BILLS | | | |
| 1.5 OTHERS | | | |
| 2. INVESTMENT OF CORPORATE FUNDS IN ACTIVITIES UNDER ITS SECONDARY PURPOSES (PLEASE SPECIFY:) | DATE OF BOARD RESOLUTION | DATE OF STOCKHOLDERS RATIFICATION | |
| | | | |
| 3. TREASURY SHARES | NO. OF SHARES | % AS TO THE TOTAL NO. OF SHARES ISSUED | |
| | | | |
| 4. UNRESTRICTED/UNAPPROPRIATED RETAINED EARNINGS AS OF END OF LAST FISCAL YEAR: Php340,939,420 | | | |
| 5. DIVIDENDS DECLARED DURING THE IMMEDIATELY PRECEDING YEAR: | | | |
| TYPE OF DIVIDEND | AMOUNT (Php) | DATE DECLARED | |
| 5.1 CASH | Regular: P0.11 share; Special: P0.39/share | March 31, 2016 | |
| 5.2 STOCK | | | |
| 5.3 PROPERTY | | | |
| TOTAL | | 0.50 per share | |
| 6. ADDITIONAL SHARES ISSUED DURING THE PERIOD: | | | |
| DATE | NO. OF SHARES | AMOUNT | |
| | | | |
| | | | |
| | | | |
| SECONDARY LICENSE/REGISTRATION WITH SEC AND OTHER GOV'T AGENCY: | | | |
| NAME OF AGENCY: | SEC | B S P | I C |
| TYPE OF LICENSE/REGN. | Broker/Dealer in Securities, Mutual Fund Distributor | | |
| DATE ISSUED: | November 27, 2015 | | |
| DATE STARTED OPERATIONS: | January 1, 2001 | | |
| TOTAL ANNUAL COMPENSATION OF DIRECTORS DURING THE PRECEDING FISCAL YEAR (in Php) | TOTAL NO. OF OFFICERS | TOTAL NO. OF RANK & FILE EMPLOYEES | TOTAL MANPOWER COMPLEMENT |
| P 890,000 - | 9 | 99 | 108 |

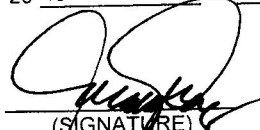
NOTE: USE ADDITIONAL SHEET IF NECESSARY

I, CAESAR A. GUERZON CORPORATE SECRETARY OF THE ABOVE-MENTIONED
(Name) (Position)


DECLARE UNDER THE PENALTY OF PERJURY, THAT ALL MATTERS SET FORTH IN THIS GENERAL INFORMATION SHEET WHICH CONSISTS OF NINE (9) PAGES HAVE BEEN MADE IN GOOD FAITH, DULY VERIFIED BY ME AND TO THE BEST OF MY KNOWLEDGE AND BELIEF, ARE TRUE AND CORRECT.

I UNDERSTAND THAT THE FAILURE OF THE CORPORATION TO FILE THIS GIS FOR FIVE (5) CONSECUTIVE YEARS SHALL BE CONSTRUED AS NON-OPERATION OF THE CORPORATION AND A GROUND FOR THE REVOCATION OF THE CORPORATION'S CERTIFICATE OF INCORPORATION. IN THIS EVENTUALITY, THE CORPORATION HEREBY WAIVES ITS RIGHT TO A HEARING FOR THE SAID REVOCATION.

DONE THIS 29TH DAY OF April, 20 16 IN PASIG

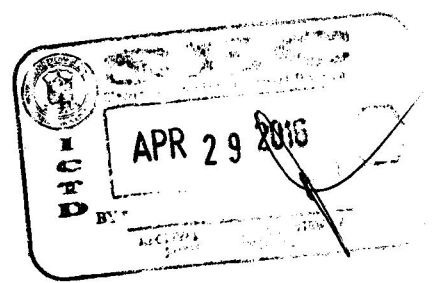

(SIGNATURE)

SUBSCRIBED AND SWORN TO BEFORE ME IN PASIG CITY/PROVINCE, PHILIPPINES ON
APR 29 2016 AFFIANT PERSONALLY APPEARED BEFORE ME AND EXHIBITED TO ME HIS/HER COMPETENT EVIDENCE OF IDENTITY PP#EB2801974 ISSUED AT MANILA ON JUNE 27, 2011


ATTY. SHARON T. LIM
Notary Public for the Cities of Pasig, Taguig & San Juan and Municipality of Pateros
Unit 12-31-17 / Appt No. 20 (2016-2017)
24018 East Tower, Phil. Stock Exchange Centre,
Exchange Rd., Ortigas Center, Pasig City 1605
Roll No. 53601 / IBP No. 1009937/10-19-15/RSM
PTR No. 1216068/01-06-16/Pasig
MCLE Compliance No. V-0016068/01-06-16/Pasig

DOC. NO.: 503
PAGE NO.: 102
BOOK NO.: III
SERIES OF.: 2016

CERTIFICATION




I, **CAESAR A. GUERZON**, of legal age, Filipino, with office address at 24/F East Tower, PSE Centre, Exchange Road, Ortigas Center, Pasig City, after having been sworn in accordance with law, hereby state that:

1. I am the duly elected Corporate Secretary of **COL Financial Group, Inc.**;
2. I have caused the preparation of the Amended General Information Sheet (GIS); and
3. I have read and understood the contents thereof and that the GIS compact disc contains the exact data as in the hard copy.

Done in Pasig City on April 29, 2016.


CAESAR A. GUERZON
Corporate Secretary

SUBSCRIBED AND SWORN to before me this 29th day of April, affiant exhibiting to me his Passport No. EB2801974 issued at Manila on June 27, 2011.


ATTY. SHARON T. LIM
Notary Public for the Cities of Pasig, Taguig, &
San Juan and Municipality of Pateros
Until 12-31-17/Appt. No. 20 (2016-2017)
2401B East Tower, Phil. Stock Exchange Centre,
Exchange Rd., Ortigas Center, Pasig City 1605
Roll No. 53601/IBP No. 1009937/10-19-15/RSM
PTR No. 1216068/01-06-16/Pasig
MCLE Compliance No. V-0010052/08-26-15/Pasig

Doc. No. 504 ;
Page No. 102 ;
Book No. II ;
Series of 2016.