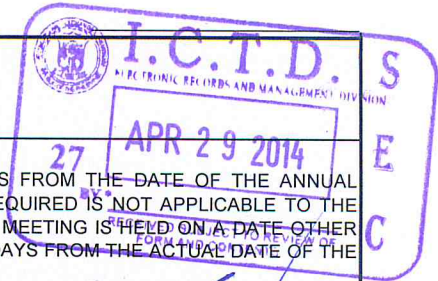


GENERAL INFORMATION SHEET (GIS)

FOR THE YEAR 2014

STOCK CORPORATION



GENERAL INSTRUCTIONS:

1. FOR USER CORPORATION: THIS GIS SHOULD BE SUBMITTED WITHIN THIRTY (30) CALENDAR DAYS FROM THE DATE OF THE ANNUAL STOCKHOLDERS' MEETING. **DO NOT LEAVE ANY ITEM BLANK.** WRITE "N.A." IF THE INFORMATION REQUIRED IS NOT APPLICABLE TO THE CORPORATION OR "NONE" IF THE INFORMATION IS NON-EXISTENT. IF THE ANNUAL STOCKHOLDERS' MEETING IS HELD ON A DATE OTHER THAN THAT STATED IN THE BY-LAWS, THE GIS SHALL BE SUBMITTED WITHIN THIRTY (30) CALENDAR DAYS FROM THE ACTUAL DATE OF THE ANNUAL STOCKHOLDERS' MEETING.
2. IF NO MEETING IS HELD, THE CORPORATION SHALL SUBMIT THE GIS NOT LATER THAN JANUARY 30 OF THE FOLLOWING YEAR. HOWEVER, SHOULD AN ANNUAL STOCKHOLDERS' MEETING BE HELD THEREAFTER, A NEW GIS SHALL BE SUBMITTED/FILED.
3. THIS GIS SHALL BE ACCOMPLISHED IN ENGLISH AND CERTIFIED AND SWORN TO BY THE CORPORATE SECRETARY OF THE CORPORATION.
4. THE SEC SHOULD BE TIMELY APPRISED OF RELEVANT CHANGES IN THE SUBMITTED INFORMATION AS THEY ARISE. FOR CHANGES RESULTING FROM ACTIONS THAT AROSE BETWEEN THE ANNUAL MEETINGS, THE CORPORATION SHALL SUBMIT ONLY THE AFFECTED PAGE OF THE GIS THAT RELATES TO THE NEW INFORMATION TOGETHER WITH A COVER LETTER SIGNED BY THE CORPORATE SECRETARY OF THE CORPORATION. THE PAGE OF THE GIS AND COVER LETTER SHALL BE SUBMITTED WITHIN SEVEN (7) DAYS AFTER SUCH CHANGE OCCURRED OR BECAME EFFECTIVE.
5. SUBMIT FOUR (4) COPIES OF THE GIS TO THE CENTRAL RECEIVING SECTION, GROUND FLOOR, SEC BLDG., EDSA, MANDALUYONG CITY. ALL COPIES SHALL UNIFORMLY BE ON A4 OR LETTER-SIZED PAPER WITH A STANDARD COVER PAGE. THE PAGES OF ALL COPIES SHALL USE ONLY ONE SIDE. CORPORATIONS SUBMITTING A COPY OF THEIR GIS ONLINE OR VIA INTERNET SHALL SUBMIT ONE (1) HARD COPY OF THE GIS, TOGETHER WITH A CERTIFICATION UNDER OATH BY ITS CORPORATE SECRETARY THAT THE COPY SUBMITTED ONLINE CONTAINS THE EXACT DATA IN THE HARD COPY.
6. **ONLY THE GIS ACCOMPLISHED IN ACCORDANCE WITH THESE INSTRUCTIONS SHALL BE CONSIDERED AS HAVING BEEN FILED.**
7. THIS GIS MAY BE USED AS EVIDENCE AGAINST THE CORPORATION AND ITS RESPONSIBLE DIRECTORS/OFFICERS FOR ANY VIOLATION OF EXISTING LAWS, RULES AND REGULATIONS

===== PLEASE PRINT LEGIBLY =====

CORPORATE NAME: COL FINANCIAL GROUP, INC.		DATE REGISTERED: 8/16/1999
BUSINESS/TRADE NAME: COL FINANCIAL GROUP, INC.		FISCAL YEAR END: December 31
SEC REGISTRATION NUMBER: A199910065		CORPORATE TAX IDENTIFICATION NUMBER (TIN): 203-523-208-000
DATE OF ANNUAL MEETING PER BY-LAWS: ANY DAY IN MARCH		WEBSITE/URL ADDRESS: www.colfinancial.com
ACTUAL DATE OF ANNUAL MEETING: March 31, 2014		E-MAIL ADDRESS:
COMPLETE PRINCIPAL OFFICE ADDRESS: 2401-B East Tower, PSE Centre, Exchange Road, Ortigas Center, Pasig City		FAX NUMBER: 634-6958
COMPLETE BUSINESS ADDRESS: (same as above)		TELEPHONE NUMBER(S): 636-5411
NAME OF EXTERNAL AUDITOR & ITS SIGNING PARTNER: SYCIP, GORRES, VELAYO & CO., ELEANORE A. LAYUG		SEC ACCREDITATION NUMBER (if applicable): SGV-0012-FR-3/ELEANORE A. LAYUG 1250-A
PRIMARY PURPOSE/ACTIVITY/INDUSTRY PRESENTLY ENGAGED IN: Online Brokerage Services	INDUSTRY CLASSIFICATION: STOCKBROKER	GEOGRAPHICAL CODE:

===== INTERCOMPANY AFFILIATIONS =====

PARENT COMPANY	SEC REGISTRATION NO.	ADDRESS
SUBSIDIARY/AFFILIATE	SEC REGISTRATION NO.	ADDRESS
	COL SECURITIES (HK) LIMITED	RM. 803 LUK YU BUILDING 24-26 STANLEY ST., CENTRAL HONG KONG

NOTE: USE ADDITIONAL SHEET IF NECESSARY

GENERAL INFORMATION SHEET

STOCK CORPORATION

===== PLEASE PRINT LEGIBLY =====

Corporate Name:

A. Is the Corporation a covered person under the Anti Money Laundering Act (AMLA), as amended? (Rep. Acts. 9160/9164/10167/10365) Yes No

Please check the appropriate box:

<p>1.</p> <p><input type="checkbox"/> a. Banks</p> <p><input type="checkbox"/> b. Offshore Banking Units</p> <p><input type="checkbox"/> c. Quasi-Banks</p> <p><input type="checkbox"/> d. Trust Entities</p> <p><input type="checkbox"/> e. Non-Stock Savings and Loan Associations</p> <p><input type="checkbox"/> f. Pawnshops</p> <p><input type="checkbox"/> g. Foreign Exchange Dealers</p> <p><input type="checkbox"/> h. Money Changers</p> <p><input type="checkbox"/> i. Remittance Agents</p> <p><input type="checkbox"/> j. Electronic Money Issuers</p> <p><input type="checkbox"/> k. Financial Institutions which Under Special Laws are subject to Bangko Sentral ng Pilipinas' (BSP) supervision and/or regulation, including their subsidiaries and affiliates.</p>	<p>4. <input type="checkbox"/> Jewelry dealers in precious metals, who, as a business, trade in precious metals</p>
<p>2.</p> <p><input type="checkbox"/> a. Insurance Companies</p> <p><input type="checkbox"/> b. Insurance Agents</p> <p><input type="checkbox"/> c. Insurance Brokers</p> <p><input type="checkbox"/> d. Professional Reinsurers</p> <p><input type="checkbox"/> e. Reinsurance Brokers</p> <p><input type="checkbox"/> f. Holding Companies</p> <p><input type="checkbox"/> g. Holding Company Systems</p> <p><input type="checkbox"/> h. Pre-need Companies</p> <p><input type="checkbox"/> i. Mutual Benefit Association</p> <p><input type="checkbox"/> j. All Other Persons and entities supervised and/or regulated by the Insurance Commission (IC)</p>	<p>5. <input type="checkbox"/> Jewelry dealers in precious stones, who, as a business, trade in precious stone</p>
<p>3.</p> <p><input checked="" type="checkbox"/> a. Securities Dealers</p> <p><input checked="" type="checkbox"/> b. Securities Brokers</p> <p><input type="checkbox"/> c. Securities Salesman</p> <p><input type="checkbox"/> d. Investment Houses</p> <p><input type="checkbox"/> e. Investment Agents and Consultants</p> <p><input type="checkbox"/> f. Trading Advisors</p> <p><input type="checkbox"/> g. Other entities managing Securities or rendering similar services</p> <p><input type="checkbox"/> h. Mutual Funds or Open-end Investment Companies</p> <p><input type="checkbox"/> i. Close-end Investment Companies</p> <p><input type="checkbox"/> j. Common Trust Funds or Issuers and other similar entities</p> <p><input type="checkbox"/> k. Transfer Companies and other similar entities</p> <p><input type="checkbox"/> l. Other entities administering or otherwise dealing in currency, commodities or financial derivatives based there on</p> <p><input type="checkbox"/> m. Entities administering of otherwise dealing in valuable objects</p> <p><input type="checkbox"/> n. Entities administering or otherwise dealing in cash Substitutes and other similar monetary instruments or property supervised and/or regulated by the Securities and Exchange Commission (SEC)</p>	<p>6. Company service providers which, as a business, provide any of the following services to third parties:</p> <p><input type="checkbox"/> a. acting as a formation agent of juridical persons</p> <p><input type="checkbox"/> b. acting as (or arranging for another person to act as) a director or corporate secretary of a company, a partner of a partnership, or a similar position in relation to other juridical persons</p> <p><input type="checkbox"/> c. providing a registered office, business address or accommodation, correspondence or administrative address for a company, a partnership or any other legal person or arrangement</p> <p><input type="checkbox"/> d. acting as (or arranging for another person to act as) a nominee shareholder for another person</p>
<p>7. Persons who provide any of the following services:</p> <p><input type="checkbox"/> a. managing of client money, securities or other assets</p> <p><input type="checkbox"/> b. management of bank, savings or securities accounts</p> <p><input type="checkbox"/> c. organization of contributions for the creation, operation or management of companies</p> <p><input type="checkbox"/> d. creation, operation or management of juridical persons or arrangements, and buying and selling business entities</p>	<p>8. <input type="checkbox"/> None of the above</p>
<p>B. Has the Corporation complied with the requirements on Customer Due Diligence (CDD) or Know Your Customer (KYC), record-keeping, and submission of reports under the AMLA, as amended, since the last filing of its GIS?</p>	<p><input checked="" type="radio"/> Yes <input type="radio"/> No</p>

Describe nature of business:

GENERAL INFORMATION SHEET

STOCK CORPORATION

===== PLEASE PRINT LEGIBLY =====

CORPORATE NAME:	COL FINANCIAL GROUP, INC.
CAPITAL STRUCTURE	
(As of March 31, 2014)	

AUTHORIZED CAPITAL STOCK				
	TYPE OF SHARES *	NUMBER OF SHARES	PAR/STATED VALUE	AMOUNT (PhP) (No. of shares X Par/Stated Value)
	common	1,000,000,000	1.00	1,000,000,000.00
TOTAL		1,000,000,000	TOTAL P	1,000,000,000.00

SUBSCRIBED CAPITAL							
FILIPINO	NO. OF STOCK-HOLDERS	TYPE OF SHARES *	NUMBER OF SHARES	NUMBER OF SHARES IN THE HANDS OF THE PUBLIC **	PAR/STATED VALUE	AMOUNT (PhP)	% OF OWNERSHIP
	33	common	468,650,000		1.00	468,650,000.00	100%
TOTAL			468,650,000	TOTAL	TOTAL P	468,650,000.00	

FOREIGN (INDICATE BY NATIONALITY)	NO. OF STOCK-HOLDERS	TYPE OF SHARES *	NUMBER OF SHARES	NUMBER OF SHARES IN THE HANDS OF THE PUBLIC **	PAR/STATED VALUE	AMOUNT (PhP)	% OF OWNERSHIP
Japanese	1	common	1,000,000		1.00	1,000,000.00	0.21%
Malaysian	1	common	1,000		1.00	1,000.00	0.00%
Chinese	1	common	100,000		1.00	100,000.00	0.02%
American	1	common	1,000		1.00	1,000.00	0.00%
Percentage of Foreign Equity :			TOTAL	1,102,000	TOTAL	TOTAL P	1,102,000.00
TOTAL SUBSCRIBED P						468,650,000.00	

PAID-UP CAPITAL							
FILIPINO	NO. OF STOCK-HOLDERS	TYPE OF SHARES *	NUMBER OF SHARES	PAR/STATED VALUE	AMOUNT (PhP)	% OF OWNERSHIP	
	33	common	468,650,000	1.00	468,650,000.00	100%	
TOTAL			468,650,000	TOTAL	TOTAL P	468,650,000.00	
FOREIGN (INDICATE BY NATIONALITY)	NO. OF STOCK-HOLDERS	TYPE OF SHARES *	NUMBER OF SHARES	PAR/STATED VALUE	AMOUNT (PhP)	% OF OWNERSHIP	
Japanese	1	common	1,000,000	1.00	1,000,000.00	0.21%	
Malaysian	1	common	1,000	1.00	1,000.00	0.00%	
Chinese	1	common	100,000	1.00	100,000.00	0.02%	
American	1	common	1,000	1.00	1,000.00	0.00%	
#DIV/0!			TOTAL	1,102,000	TOTAL	TOTAL P	1,102,000.00
TOTAL PAID-UP P					468,650,000.00		

NOTE: USE ADDITIONAL SHEET IF NECESSARY

* Common, Preferred or other classification
 ** Other than Directors, Officers, Shareholders owning 10% of outstanding shares.
 ** Other than Directors, Officers, Shareholders owning 10% of outstanding shares.

GENERAL INFORMATION SHEET

STOCK CORPORATION

===== PLEASE PRINT LEGIBLY =====

CORPORATE NAME: COL FINANCIAL GROUP, INC.

DIRECTORS / OFFICERS

NAME, NATIONALITY AND CURRENT RESIDENTIAL ADDRESS	INC'R	BOARD	STOCK HOLDER	OFFICER	EXEC. COMM.	TAX IDENTIFICATION NO. (TIN) FOR FILIPINOS or PASSPORT NO. FOR FOREIGNERS
1. EDWARD K. LEE 1576 Mahogany cor. Cypress, Dasmariñas Village, Makati	Y	C	Y	Chairman of the Board		120-974-895 Filipino
2. ALEXANDER C. YU 211 Ortega St., San Juan, Metro Manila	Y	M	Y	Vice- Chairman	C/M N/M	103-900-928 Filipino
3. CONRADO F. BATE 1207 Tamarind Road Dasmariñas Village, Makati City	N	M	Y	Pres./Chief Exec. Officer		110-863-960 Filipino
4. CATHERINE L. ONG 38-I P Florentino St. cor. P. Tuazon, Quezon City	Y	M	Y	SVP /Treasurer/	C/M	120-975-006 Filipino
5. CAESAR A. GUERZON 32 Hydra St., Bel-Air, Makati City	Y		Y	Corporate Secretary	N/M	111-891-078 Filipino
6. HERNAN G. LIM #6 Polk St., North Greenhills, San Juan City	N	M	Y	Director	A/M	103-076-270 Filipino
7. RAYMOND C. YU 117 4th Street, New Manila, Quezon City	N	M	Y	Director	A/M	115-677-019 Filipino
8. PAULWELL HAN 9E G/F Broom Road, Happy Valley, Hong Kong	N	M	Y	Director		433-404-484 Chinese
9. WELLINGTON C. YU 76 Interior, Kaingin Road, Balintawak, Quezon City	N	M	Y	Director	C/C	102-049-841 Filipino
10. MANUEL S. ESTACION 6218 Mañalac St., Makati City	N	I	Y	Director	A/C C/M	105-781-061 Filipino
11. KHOO BOO BOON #21 Don Rufino Avenue, Tahanan Village Parañaque City, Metro Manila	N	I	Y	Director	N/C	186-654-826 Malaysian
12. JOEL LITMAN 425 5th Avenue, New York, New York, USA	N	M	Y	Director		428-686-766 American
13. JUAN JAIME G. BARREDO 104 Alexis #33 Firefly St., Valle Verde 6, Pasig City	Y		Y	VP/Asst. Corp. Sec.		168-943-061 Filipino
14. NIKOS J. BAUTISTA 631 Lourdes St., Unit 39 Graceland Mansion Savi, Parañaque City	N		Y	VP/Chief Technology Officer		188-033-215 Filipino
15. LORENA E. VELARDE 605 Lab Street, Villa Miguela, Pinagbuhatan, Pasig City	N		Y	VP/Financial Controller		129-434-085 Filipino
16. APRIL LYNN L. TAN B291 Alexandra Condominium, 29 Meralco Ave., Ortigas, Pasig City	N		Y	VP/Head of Research		100-902-279 Filipino
17. SHARON T. LIM 1402B Landmark Villa 2 Gate 1, Kaimito St., Valle Verde 2, Pasig City	N		N	Associated Person/Asst. Corp. Sec.		211-597-311 Filipino
18. REA P. ORTEZA 34 Apt. B, A. Flores St., Bagong Ilog, Pasig City	N		N	Associated Person		222-203-482 Filipino
19. PEARL HOPE MICHELLE S. ESCALONA U403 Emerald Bldg., Rosewood Pointe Residences, Taguig City	N		N	Associated Person		923-087-033 Filipino

INSTRUCTIONS:

FOR BOARD COLUMN, PUT "C" FOR CHAIRMAN, "M" FOR MEMBER, "I" FOR INDEPENDENT DIRECTOR.

FOR INC'R COLUMN, PUT "Y" IF AN INCORPORATOR, "N" IF NOT.

FOR STOCKHOLDER COLUMN, PUT "Y" IF A STOCKHOLDER, "N" IF NOT.

FOR OFFICER COLUMN, INDICATE PARTICULAR POSITION IF AN OFFICER, FROM VP UP INCLUDING THE POSITION OF THE TREASURER, SECRETARY, COMPLIANCE OFFICER AND/OR ASSOCIATED PERSON.

FOR EXECUTIVE COMMITTEE, INDICATE "C" IF MEMBER OF THE COMPENSATION COMMITTEE; "A" FOR AUDIT COMMITTEE; "N" FOR NOMINATION AND ELECTION COMMITTEE. ADDITIONALLY WRITE "C" AFTER SLASH IF CHAIRMAN AND "M" IF MEMBER.

GENERAL INFORMATION SHEET
STOCK CORPORATION

===== PLEASE PRINT LEGIBLY =====						
CORPORATE NAME:		COL FINANCIAL GROUP, INC.				
TOTAL NUMBER OF STOCKHOLDERS:		33	NO. OF STOCKHOLDERS WITH 100 OR MORE SHARES EACH: 33			
TOTAL ASSETS BASED ON LATEST AUDITED FINANCIAL STATEMENTS: Php4,318,785,171						
STOCKHOLDER'S INFORMATION (As of March 31, 2014)						
NAME, NATIONALITY AND CURRENT RESIDENTIAL ADDRESS	SHARES SUBSCRIBED				AMOUNT PAID (PhP)	TAX IDENTIFICATION NO. (TIN) FOR FILIPINOS or PASSPORT NO. FOR FOREIGNERS
	TYPE	NUMBER	AMOUNT (PhP)	% OF OWNERSHIP		
1. PCD Nominee Corporation - Filipino Alexander C. Yu Edward K. Lee G/F Makati Stock Exchange Building, 6767 Ayala Avenue, Makati City	common	247,987,800	247,987,800.00	52.92%	315,436,700.00	004-774-849
		42,811,800	42,811,800.00	9.14%		
		24,637,100	24,637,100.00	5.26%		
	TOTAL	315,436,700	315,436,700.00			
2. Edward K. Lee Filipino 1576 Mahogany cor. Cypress, Dasmariñas Village, Makati City	common	62,250,000	62,250,000.00	13.28%	62,250,000.00	120-974-895
	TOTAL	62,250,000	62,250,000.00			
3. PCD Nominee Corporation Non-Filipino Paulwell Han G/F Makati Stock Exchange Building, 6767 Ayala Avenue, Makati City	common	19,486,600	19,486,600.00	4.16%	49,464,500.00	004-774-849
		29,977,900	29,977,900.00	6.40%		
	TOTAL	49,464,500	49,464,500.00			
4. Alexander C. Yu Filipino 211 Ortega St. San Juan , Metro Manila	common	20,000,000	20,000,000.00	4.27%	20,000,000.00	103-900-928
	TOTAL	20,000,000	20,000,000.00			
5. Johnson C. Yu Filipino 211 Ortega St. San Juan , Metro Manila	common	7,750,000	7,750,000.00	1.65%	7,750,000.00	115-677-202
	TOTAL	7,750,000	7,750,000.00			
6. Valentina L. Ang Filipino Block 6, L t 14 Pifa-Santol Subd., Sampaloc, Manila	common	5,000,000	5,000,000.00	1.07%	5,000,000.00	120-974-976
	TOTAL	5,000,000	5,000,000.00			
7. Frederick Yuson Filipino 358 Araneta Ave., QC	common	4,000,000	4,000,000.00	0.85%	4,000,000.00	120-974-779
	TOTAL	4,000,000	4,000,000.00			
TOTAL AMOUNT OF SUBSCRIBED CAPITAL			463,901,200.00		468,650,000.00	
TOTAL AMOUNT OF PAID-UP CAPITAL						

INSTRUCTION: SPECIFY THE TOP 20 STOCKHOLDERS AND INDICATE THE REST AS OTHERS

Note: For PDTC Nominee included in the list, please indicate further the beneficial owners owning more than 5% of any class of the company's voting securities. Attach separate sheet, if necessary.

GENERAL INFORMATION SHEET

STOCK CORPORATION

===== PLEASE PRINT LEGIBLY =====

CORPORATE NAME: COL FINANCIAL GROUP, INC.

TOTAL NUMBER OF STOCKHOLDERS: 33 **NO. OF STOCKHOLDERS WITH 100 OR MORE SHARES EACH:** 33

TOTAL ASSETS BASED ON LATEST AUDITED FINANCIAL STATEMENTS: Php4,318,785,171

STOCKHOLDER'S INFORMATION

(As of March 31, 2014)

NAME, NATIONALITY AND CURRENT RESIDENTIAL ADDRESS	SHARES SUBSCRIBED				AMOUNT PAID (PhP)	TAX IDENTIFICATION NO. (TIN) FOR FILIPINOS or PASSPORT NO. FOR FOREIGNERS
	TYPE	NUMBER	AMOUNT (PhP)	% OF OWNERSHIP		
8. Hirotsugu Kobayashi Japanese #5 San Felipe St. Magallanes Village, Makati City	common	1,000,000	1,000,000.00	0.21%	1,000,000.00	145-869-289
	TOTAL	1,000,000	1,000,000.00			
9. Lydia C. Lee Filipino 1576 Mahogany cor. Cypress, Dasmariñas Village, Makati City	common	1,000,000	1,000,000.00	0.21%	1,000,000.00	120-974-909
	TOTAL	1,000,000	1,000,000.00			
10. Jessalynn L. Tan Filipino 16 Accacia St., Valle Verde 3, Pasig City	common	1,000,000	1,000,000.00	0.21%	1,000,000.00	120-975-089
	TOTAL	1,000,000	1,000,000.00			
11. Regina Cielo Vinzon Filipino 15 Pugad Lawin St. Ayala Heights Village, Old Balara, QC	common	1,000,000	1,000,000.00	0.21%	1,000,000.00	111-891-132
	TOTAL	1,000,000	1,000,000.00			
12. Leopoldo Cruz Filipino 3-11 Guijo St., Rexville Subd., Pamplona Las Piñas City	common	350,000	350,000.00	0.07%	350,000.00	101-900-920
	TOTAL	350,000	350,000.00			
13. Lim, Hernan G. Filipino #6 Polk St., North Greenhills, San Juan City	common	100,000	100,000.00	0.02%	100,000.00	103-076-270
	TOTAL	100,000	100,000.00			
14. Yu, Raymond C. Filipino 117 4th Street, New Manila, Quezon City	common	100,000	100,000.00	0.02%	100,000.00	115-677-019
	TOTAL	100,000	100,000.00			
TOTAL AMOUNT OF SUBSCRIBED CAPITAL			4,550,000.00			
TOTAL AMOUNT OF PAID-UP CAPITAL					468,650,000.00	

INSTRUCTION: SPECIFY THE TOP 20 STOCKHOLDERS AND INDICATE THE REST AS OTHERS

Note: For PDTC Nominee included in the list, please indicate further the beneficial owners owning more than 5% of any class of the company's voting securities. Attach separate sheet, if necessary.

GENERAL INFORMATION SHEET

STOCK CORPORATION

===== PLEASE PRINT LEGIBLY =====

CORPORATE NAME:		COL FINANCIAL GROUP, INC.					
TOTAL NUMBER OF STOCKHOLDERS:		33		NO. OF STOCKHOLDERS WITH 100 OR MORE SHARES EACH: 33			
TOTAL ASSETS BASED ON LATEST AUDITED FINANCIAL STATEMENTS: Php4,318,785,171							
STOCKHOLDER'S INFORMATION							
(As of March 31, 2014)							
NAME, NATIONALITY AND CURRENT RESIDENTIAL ADDRESS	SHARES SUBSCRIBED				AMOUNT PAID (Php)	TAX IDENTIFICATION NO. (TIN) FOR FILIPINOS or PASSPORT NO. FOR FOREIGNERS	
	TYPE	NUMBER	AMOUNT (Php)	% OF OWNER- SHIP			
15. Han, Paulwell Chinese 9E G/F Broom Road, Happy Valley, Hong Kong	common	100,000	100,000.00	0.02%	100,000.00	433-404-484	
	TOTAL	100,000	100,000.00				
16. Ong, Catherine L. Filipino 38-I P Florentino St. cor. P. Tuazon, Quezon City	common	50,000	50,000.00	0.01%	50,000.00	120-975-006	
	TOTAL	50,000	50,000.00				
17. Barretto, Serafin Jr. P. Filipino U-8B23 Francesca Tower, EDSA cor. Sct. Borromeo Q.C.	common	12,000	12,000.00	0.00%	12,000.00	140-357-660	
	TOTAL	12,000	12,000.00				
18. Estacion, Manuel S. Filipino 6218 Mañalac St., Makati City	common	10,000	10,000.00	0.00%	10,000.00	105-781-061	
	TOTAL	10,000	10,000.00				
19. Yu, Wellington C. Filipino 76 Interior, Kaingin Road, Balintawak, Quezon City	common	10,000	10,000.00	0.00%	10,000.00	102-049-841	
		10,000	10,000.00				
20. Filio, Sernando Filipino 5-A Happy Homes St., Carebi Subd., Angono, Rizal	common	5,000	5,000.00	0.00%	5,000.00	196-840-654	
	TOTAL	5,000	5,000.00				
21. OTHERS (Indicate the number of the remaining stockholders) 13	common	11,800	11,800.00	0.00%	11,800.00		
	TOTAL	11,800	11,800.00				
TOTAL AMOUNT OF SUBSCRIBED CAPITAL			198,800.00	100%	468,650,000.00		
TOTAL AMOUNT OF PAID-UP CAPITAL							
INSTRUCTION: SPECIFY THE TOP 20 STOCKHOLDERS AND INDICATE THE REST AS OTHERS							
Note: For PDTC Nominee included in the list, please indicate further the beneficial owners owning more than 5% of any class of the company's voting securities. Attach separate sheet, if necessary.							

GENERAL INFORMATION SHEET
STOCK CORPORATION

===== PLEASE PRINT LEGIBLY =====			
CORPORATE NAME:		COL FINANCIAL GROUP, INC.	
1. INVESTMENT OF CORPORATE FUNDS IN ANOTHER CORPORATION	AMOUNT (PhP)	DATE OF BOARD RESOLUTION	
1.1 STOCKS	397,400		
1.2 BONDS/COMMERCIAL PAPER (Issued by Private Corporations)			
1.3 LOANS/ CREDITS/ ADVANCES			
1.4 GOVERNMENT TREASURY BILLS			
1.5 OTHERS			
2. INVESTMENT OF CORPORATE FUNDS IN ACTIVITIES UNDER ITS SECONDARY PURPOSES (PLEASE SPECIFY:)	DATE OF BOARD RESOLUTION	DATE OF STOCKHOLDERS RATIFICATION	
NONE			
3. TREASURY SHARES	NO. OF SHARES	% AS TO THE TOTAL NO. OF SHARES ISSUED	
	NONE		
4. UNRESTRICTED/UNAPPROPRIATED RETAINED EARNINGS AS OF END OF LAST FISCAL YEAR: Php333,076,865			
5. DIVIDENDS DECLARED DURING THE IMMEDIATELY PRECEDING YEAR:			
TYPE OF DIVIDEND	AMOUNT (PhP)	DATE DECLARED	
5.1 CASH	Regular: P0.12 share; Special: P0.51/share	March 19, 2013	
5.2 STOCK			
5.3 PROPERTY			
TOTAL	0.63 per share		
6. ADDITIONAL SHARES ISSUED DURING THE PERIOD: none			
DATE	NO. OF SHARES	AMOUNT	
SECONDARY LICENSE/REGISTRATION WITH SEC AND OTHER GOV'T AGENCY:			
NAME OF AGENCY:	SEC	BSP	IC
TYPE OF LICENSE/REGN.	Broker/Dealer in Securities		
DATE ISSUED:	November 29, 2013		
DATE STARTED OPERATIONS:	January 1, 2001		
TOTAL ANNUAL COMPENSATION OF DIRECTORS DURING THE PRECEDING FISCAL YEAR (in PhP)	TOTAL NO. OF OFFICERS	TOTAL NO. OF RANK & FILE EMPLOYEES	TOTAL MANPOWER COMPLEMENT
P 740,000	8	77	85

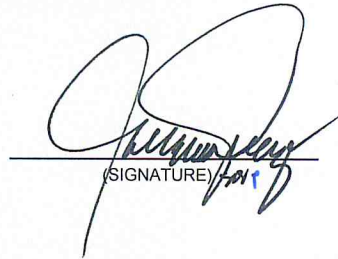
NOTE: USE ADDITIONAL SHEET IF NECESSARY

I, CAESAR A. GUERZON, Corporate Secretary of COL FINANCIAL GROUP, INC. DECLARE UNDER THE
(NAME) (POSITION)

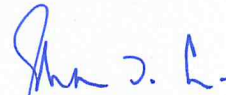
PENALTY OF PERJURY, THAT ALL MATTERS SET FORTH IN THIS GENERAL INFORMATION SHEET WHICH CONSISTS OF (9) PAGES HAVE BEEN MADE IN GOOD FAITH, DULY VERIFIED BY ME AND TO THE BEST OF MY KNOWLEDGE AND BELIEF, ARE TRUE AND CORRECT.

I UNDERSTAND THAT THE FAILURE OF THE CORPORATION TO FILE THIS GIS FOR FIVE (5) CONSECUTIVE YEARS SHALL BE CONSTRUED AS NON-OPERATION OF THE CORPORATION AND A GROUND FOR THE REVOCATION OF THE CORPORATIONS CERTIFICATE OF INCORPORATION. IN THIS EVENTUALITY, THE CORPORATION HEREBY WAIVES ITS RIGHT TO A HEARING FOR THE SAID REVOCATION.

DONE THIS 28th DAY OF APRIL 2014 IN PASIG.


(SIGNATURE)

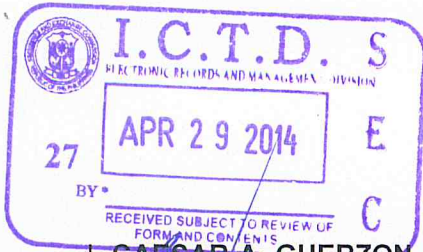
SUBSCRIBED AND SWORN TO BEFORE ME IN PASIG CITY/PROVINCE, PHILIPPINES
ON APR 28 2014, AFFIANT PERSONALLY APPEARED BEFORE ME AND EXHIBITED TO ME HIS
PASSPORT NO. EB2801974 ISSUED AT MANILA ON JUNE 27, 2011.



ATTY. SHARON T. LIM

Notary Public for the Cities of Pasig, Taguig, &
San Juan and Municipality of Pateros
Until 12-31-15/Appt. No. 27 (2014-2015)
2401B East Tower, Phil. Stock Exchange Centre,
Exchange Rd., Ortigas Center, Pasig City 1605
Roll No. 53601/IBP No. 942274/10-23-13/RSM
PTR No. 9856974/1-14-14/Pasig
MCLE Compliance No. IV-0010699/12-20-12/Pasig

DOC. NO: 309 :
PAGE NO. 69 :
BOOK NO. 11 :
SERIES OF 2014 :



CERTIFICATION

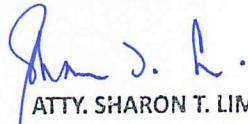
I, **CAESAR A. GUERZON**, of legal age, Filipino, with office address at 2401-B East Tower, PSE Centre, Exchange Road, Ortigas Center, Pasig City, after having been sworn in accordance with law, hereby state that:

1. I am the duly elected Corporate Secretary of **COL Financial Group, Inc.**;
2. I have caused the preparation of the General Information Sheet (GIS); and
3. I have read and understood the contents thereof and that the GIS compact disc contains the exact data as in the hard copy.

Done in Pasig City on April 28, 2014.


CAESAR A. GUERZON
Corporate Secretary

SUBSCRIBED AND SWORN to before me this 28 day of APR 28 2014, affiant exhibiting to me his Passport No. EB2801974 issued at Manila on June 27, 2011.


ATTY. SHARON T. LIM
Notary Public for the Cities of Pasig, Taguig, &
San Juan and Municipality of Pateros
Until 12-31-15/Appt. No. 27 (2014-2015)
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Page No. 69 ;
Book No. 71 ;
Series of 2014